



09685449 - GAU: 3696

PTO/SB08A(10-01)  
Approved for use through 10/31/2002. OMB 651-0031  
US Patent & Trademark Office U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Use as many sheets as necessary)

Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 09/685,449       |
| Filing Date          | October 11, 2000 |
| First Named Inventor | Chin, Jeff 3696  |
| Group Art Unit       | 3694             |
| Examiner Name        | Colbert, Ella    |

Sheet 1 of 3

Attorney Docket No: 2043.166US1

**US PATENT DOCUMENTS**

| Examiner Initial * | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Filing Date If Appropriate |
|--------------------|---------------------|------------------|---|----------------------------|
| /EC/               | US-2006/0129476A1   | 06/15/2006       | Chin, J. , et al.                               | 02/10/2006                 |
|                    | US-2007/0112645A1   | 05/17/2007       | Traynor, D. , et al.                            | 12/29/2006                 |
|                    | US-5,710,887        | 01/20/1998       | Chelliah, R. , et al.                           | 08/29/1995                 |
|                    | US-5,835,896        | 11/10/1998       | Fisher, Alan S., et al.                         | 03/29/1996                 |
|                    | US-5,924,077        | 07/13/1999       | Beach, David , et al.                           | 12/29/1995                 |
|                    | US-6,473,748        | 10/29/2002       | Archer, J. L.                                   | 05/28/1999                 |
|                    | US-6,587,837        | 07/01/2003       | Spagna, Richard , et al.                        | 12/01/1998                 |
|                    | US-6,611,881        | 08/26/2003       | Gottfurcht, E. A., et al.                       | 03/15/2000                 |
|                    | US-6,658,568        | 12/02/2003       | Ginter, K , et al.                              | 10/26/1999                 |
|                    | US-6,671,358        | 12/30/2003       | Seidman, C. B., et al.                          | 04/18/2002                 |
|                    | US-6,671,818        | 12/30/2003       | Mikurak, M. G.                                  | 11/22/1999                 |
|                    | US-6,731,729        | 05/04/2004       | Eng, Chi , et al.                               | 11/29/2001                 |
|                    | US-6,754,181        | 06/22/2004       | Elliott, I. K., et al.                          | 11/18/1996                 |
|                    | US-6,868,413        | 03/15/2005       | Grindrod, G. , et al.                           | 05/10/2001                 |
|                    | US-6,910,028        | 06/21/2005       | Chan, H. Y., et al.                             | 07/27/2001                 |
|                    | US-7,039,594        | 05/02/2006       | Gersting, A. M.                                 | 07/26/2000                 |
|                    | US-7,039,608        | 05/02/2006       | Johnson, Christopher D., et al.                 | 12/14/2000                 |
|                    | US-7,039,875        | 05/02/2006       | Khalfay, Afzal , et al.                         | 11/30/2000                 |
|                    | US-7,120,629        | 10/10/2006       | Seibel, John C., et al.                         | 05/24/2001                 |
|                    | US-7,130,807        | 10/31/2006       | Mikurak, M. G.                                  | 11/22/1999                 |
|                    | US-7,149,698        | 12/12/2006       | Guheen, Michael F., et al.                      | 09/12/2003                 |
|                    | US-7,165,041        | 01/16/2007       | Guheen, Michael F., et al.                      | 05/27/1999                 |
| /EC/               | US-7,185,044        | 02/27/2007       | Ryan, Mark , et al.                             | 01/19/2001                 |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | T <sup>2</sup> |
|--------------------|---------------------|------------------|---|----------------|
|--------------------|---------------------|------------------|---|----------------|

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|----------------------|---|----------------|
| /EC/               |                      | "Amazon.com: <a href="http://www.amazon.com/Carbohydrate-Additcs-Lifespan-Parogram-personalized/dp/0525941">http://www.amazon.com/Carbohydrate-Additcs-Lifespan-Parogram-personalized/dp/0525941</a> ", (01/01/2007),   |                |
|                    |                      | "Application Serial No. 09/441,388 (Atty Ref 2043.162US1), Amendment filed with RCE 11-01-07", 15 pgs.  |                |
|                    |                      | "Application Serial No. 09/441,388 (Atty. Ref. 2043.162US1) Advisory Action mailed 01-02-2004", 3 pgs   |                |
| /EC/               |                      | "Application Serial No. 09/441,388 (Atty. Ref. 2043.162US1) Advisory Action mailed 12-12-2005", 3 pgs   |                |

EXAMINER

/Ella Colbert/

DATE CONSIDERED

05/08/2008

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

|                             |                  |
|-----------------------------|------------------|
| <b>Application Number</b>   | 09/685,449       |
| <b>Filing Date</b>          | October 11, 2000 |
| <b>First Named Inventor</b> | Chin, Jeff       |
| <b>Group Art Unit</b>       | 3694             |
| <b>Examiner Name</b>        | Colbert, Ella    |

Sheet 2 of 3

Attorney Docket No: 2043.166US1

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|-----------------------|---|----------------|
| /EC/               |                       | "Application Serial No. 09/441,388 (Atty. Ref. 2043.162US1) Final Office Action mailed 02-06-2004", 8 pgs   |                |
|                    |                       | "Application Serial No. 09/441,388 (Atty. Ref. 2043.162US1) Final Office Action mailed 08-10-2005", 9 pgs   |                |
|                    |                       | "Application Serial No. 09/441,388 (Atty. Ref. 2043.162US1) Non Final Office Action mailed 06-14-2004", 9 pgs   |                |
|                    |                       | "Application Serial No. 09/441,388 (Atty. Ref. 2043.162US1) Response filed 05-06-2004 to Final Office Action mailed 02-06-2004", 11 pgs   |                |
|                    |                       | "Application Serial No. 09/441,388 (Atty. Ref. 2043.162US1) Response filed 09-13-2004 to Non Final Office Action mailed 06-14-2004", 11 pgs   |                |
|                    |                       | "Application Serial No. 09/441,388 (Atty. Ref. 2043.162US1) Response filed 11-04-2005 to Final Office Action mailed 08-10-2005", 10 pgs   |                |
|                    |                       | "Application Serial No. 09/441,388 (Atty. Ref. 2043.162US1) Response filed 12-12-2003 to Final Office Action mailed 06-23-2003", 5 pgs  |                |
|                    |                       | "Application Serial No. 09/686,073 (Atty Ref 2043.165US1), Final Office Action mailed 11-05-07", 13 pgs   |                |
|                    |                       | "Application Serial No. 09/686,073 (Atty Ref 2043.165US1), Response filed 01-08-08 to Final Office Action mailed 11-05-07", 10 pgs.   |                |
|                    |                       | "Application Serial No. 09/686,073 (Atty. Ref. No. 2043.165US1) Advisory Action mailed 04-12-2005", 2 pgs   |                |
|                    |                       | "Application Serial No. 09/686,073 (Atty. Ref. No. 2043.165US1) Final Office Action mailed 02-06-2006", 15 pgs  |                |
|                    |                       | "Application Serial No. 09/686,073 (Atty. Ref. No. 2043.165US1) Non Final Office Action mailed 03-29-2004", 11 pgs  |                |
|                    |                       | "Application Serial No. 09/686,073 (Atty. Ref. No. 2043.165US1) Non Final Office Action mailed 05-08-2007", 23 pgs  |                |
|                    |                       | "Application Serial No. 09/686,073 (Atty. Ref. No. 2043.165US1) Non Final Office Action mailed 08-12-2005", 12 pgs  |                |
|                    |                       | "Application Serial No. 09/686,073 (Atty. Ref. No. 2043.165US1) Non Final Office Action mailed 10-05-2006", 12 pgs  |                |
|                    |                       | "Application Serial No. 09/686,073 (Atty. Ref. No. 2043.165US1) Non Final Office Action mailed 11-05-2004", 8 pgs   |                |
|                    |                       | "Application Serial No. 09/686,073 (Atty. Ref. No. 2043.165US1) Response filed 01-25-2007 to Non Final Office Action mailed 10-05-2006", 14 pgs   |                |
|                    |                       | "Application Serial No. 09/686,073 (Atty. Ref. No. 2043.165US1) Response filed 03-24-2005 to Non Final Office Action mailed 11-05-2004", 8 pgs  |                |
|                    |                       | "Application Serial No. 09/686,073 (Atty. Ref. No. 2043.165US1) Response filed 07-29-2004 to Non Final Office Action mailed 03-29-2004", 10 pgs   |                |
| /EC/               |                       | "Application Serial No. 09/686,073 (Atty. Ref. No. 2043.165US1) Response filed 11-14-2005 to Non Final Office Action mailed 08-12-2005", 9 pgs  |                |

EXAMINER

/Ella Colbert/

DATE CONSIDERED

05/08/2008

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

|                             |                  |
|-----------------------------|------------------|
| <b>Application Number</b>   | 09/685,449       |
| <b>Filing Date</b>          | October 11, 2000 |
| <b>First Named Inventor</b> | Chin, Jeff       |
| <b>Group Art Unit</b>       | <del>3694</del>  |
| <b>Examiner Name</b>        | Colbert, Ella    |

Sheet 3 of 3

Attorney Docket No: 2043.166US1

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|-----------------------|---|----------------|
| /EC/               |                       | "Application Serial No. 09/686,073 (Atty. Ref. No. 2043.165US1) Response filed 7-31-2007 to Non Final Office Action mailed 05-08-2007", 16 pgs  |                |
|                    |                       | "Application Serial No. 10/201,586 (Atty. Ref. 2043.167US1) Final Office Action mailed 11-20-2006", 8 pgs   |                |
|                    |                       | "Application Serial No. 10/201,586 (Atty. Ref. 2043.167US1) Non Final Office Action mailed 04-06-2006", 7 pgs   |                |
|                    |                       | "Application Serial No. 10/201,586 (Atty. Ref. 2043.167US1) Non Final Office Action mailed 05-09-2007", 10 pgs  |                |
|                    |                       | "Application Serial No. 10/201,586 (Atty. Ref. 2043.167US1) Response filed 08-08-2007 to Non Final Office Action mailed 05-09-2007", 16 pgs   |                |
|                    |                       | "Application Serial No. 10/201,586 (Atty. Ref. 2043.167US1) Response filed 08-22-2006 to Non Final Office Action mailed 04-06-2006", 6 pgs  |                |
|                    |                       | "Application Serial No. 10/201,586 (Atty. Ref. No. 2043.167US1), Response filed 08-08-07 to Non-Final Office Action mailed May 9, 2007.", 16 pgs.   |                |
|                    |                       | "Application Serial No. 11/352,173 (Atty. Ref. 2043.166US2) Non Final Office Action mailed 06-22-2007", 7 pgs   |                |
|                    |                       | "Application Serial No. 11/352,173 (Atty. Ref. 2043.166US2) Response filed 08/30/07 to Non Final Office Action mailed 06-22-2007", 8 pgs  |                |
| /EC/               |                       | "Australian Application No. 2002355130 First Office Action and communication regarding same", 1 pg  |                |

EXAMINER

/Ella Colbert/

DATE CONSIDERED

05/08/2008

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached